

10-13-05

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Rec No : EV478586540US  
On : October 12, 2005  
By:   
Brendy L. Belony

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor: Yutaka YAMANAKA, et al.  
Filed: March 9, 2004  
For: OPTICAL DISK MEDIUM AND....  
Serial Number : 10/796,579

October 12, 2005

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

SIR:

In order to comply with discretionary regulations 37 CFR §§ 1.97 and 1.98, attached hereto is a copy of Form PTO-1449 and copies of non-US documents (if any) listed thereon. This document contains information which the Examiner may consider to be important in deciding whether to issue a patent in the instant application.

Also attached is a Chinese Office Action dated August 12, 2005, from corresponding Chinese Application Number 200410032622.9

The present Disclosure Statement is being submitted in compliance with 37 CFR § 1.56 as an Examiner might consider any cited document important in deciding whether to allow the application to issue as a patent, but the citation of each document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner in the normal course of examination, will make an independent search and will

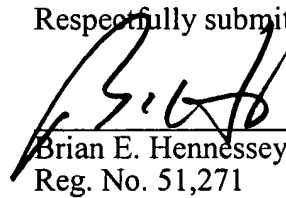
determine the best prior art consistent with 37 CFR § 1.104 (a), and in the course of such search will review for relevance every document cited on the attached form even if not initialed.

As this statement is being filed prior to issuance of a first Office Action, no fee is due. However, any fee determined to be due with this statement may be charged to Deposit Account 50-1290.

Early and favorable consideration is respectfully solicited.

Any fee due with this paper may be charged to Deposit Account 50-1290.

Respectfully submitted,



Brian E. Hennessey  
Reg. No. 51,271

CUSTOMER NUMBER 026304  
Docket No.: NECG 21.055

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Sheet 1 of 1

Application No. : 10/796,579

Filing Date : March 9, 2004

First Named Inventor: Y. YAMANAKA

Group Art Unit :

Examiner Name :

Attorney Docket No. : NECG 21.055

**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document	Kind Code if known <sup>2</sup>	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns Lines Where Relevant Passages or Relevant Figures Appear
		6,314,067		CHUNG ET AL.	11-06-2001	

**FOREIGN DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document Office <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Country	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YY	Pages, Columns Lines Where Relevant Passages or Relevant Figures Appear
		1229238	CN		09-22-1999	*

**\*Mentioned in Chinese Office Action dated August 12, 2005 and corresponds to US Application No. 6,314,067**

**Other Prior Art-Non Patent Literature Documents**

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), data, page(s), volume-issue number(s), publisher, country, where published, source.	Applicant check here if English language translation attached
		Chinese Office Action dated August 12, 2005 with translation	X
Examiner Signature		Date Considered	

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that Issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.1<sup>6</sup> if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached. Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of Information unless it displays a valid OMB control number. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.